



Substitute for form 1449A/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/040,654
		Filing Date	January 7, 2002
		First Named Inventor	Victor N. Vu
		Art Unit	2126
		Examiner Name	Courtenay III, St. John
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Sheet		of	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T*
js		PCT/US 03/00113, International Search Report, 10 pages.	
js		"The Open Services Gateway Initiative", "OSGi Service Platform Release 2-Chapter 2 Framework Specification" "Online!", October 2001 (2001-10), pages I-III, 11-92, retrieved from the internet: URL: http://jeffree.objectweb.org/doc/spr2b , retrieved on 2004-11-17.	
js		"Process for Dynamic Upgrade of an Object", Nov. 1, 1995 (1995-11-01), IBM Technical Disclosure Bulletin, IBM Corp. New York, US, Pages 385, XP000547402. ISSN. 0018-8689	
js		Condry M. et al., "Open Service Gateway Architecture Overview", Industrial Electronics Society, 1999. IECON '99 Proceedings. The 25th Annual Conference of the IEEE San Jose, CA, USA, 29 Nov.- 3 Dec. 1999., Piscataway, NJ USA, IEEE, US, ISBN: 0-7803-5735-3, date 29 November 1999 (1999-11-29).	
js		PLASIL F ET AL., "SOFA/DCUP: Architecture for component trading and dynamic updating: CONFIGURABLE DISTRIBUTED SYSTEMS, 1998. PROCEEDINGS. FOURTH INTERNATIONAL CONFERENCE ON ANNAPOLIS, MA., USA, 4-6 May 1998, Los Alamitos, CA., USA, IEEE, COMPUT. SOC. US, May 4, 1998, (1998-05-04) pages 43-51, XP010280578, ISBN: 0-8186-8451-8.	
js		BRAMLEY RANDALL, GANNON DENNIS, VILLACIS JUAN, WHITAKERY ANDREW: "Using the Grid to Support Software Component Systems", Ninth SIAM Conference on Parallel Processing for Scientific Computing March 22-24, 1999, San Antonio, Texas, US. Online, March 1999 (1999-03-23), pgs. 1-11 Xp002306196, retrieved from internet. http://www.extreme.indiana.edu/cat/papers/siam99.pdf .	
js		Villacis J. et al., "CAT: a high performance, distributed component architecture toolkit for the grid", High Performance Distributed Computing 1999. Proceedings. The Eighth International Symposium on Redondo Beach, CA, USA 3-6 Aug. 1999, Los Alamitos, CA, 3 Aug. 1999 (1999-08-03) Pgs. 125-132 XP010358677 ISBN: 0-7803-5681-0	

Examiner Signature		Date Considered	4/3/06
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*Examiner: Initial & reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

†Applicant's unique citation designation number. *Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zalman (wtr) 08/11/2003.
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